

Commitment to Transparency in All Compensation

Advisor / Broker / Consultant Commissions and Fees:

	Yes	No		Receives Only Administrative Fees (self-funded plans)
	<input type="checkbox"/>	<input type="checkbox"/>		Flat PMPM
	<input type="checkbox"/>	<input type="checkbox"/>		Receives Only Flat Dollar Commissions (fully insured plans)
				Compensation paid as a fixed dollar amount rather than fluctuating % of premium
				Accepts Bonuses (sometimes called Contingent Commissions) based on:
<input type="checkbox"/>	<input type="checkbox"/>			Commission "Multiplier"
<input type="checkbox"/>	<input type="checkbox"/>			New Revenue Based
<input type="checkbox"/>	<input type="checkbox"/>			Profit Based
<input type="checkbox"/>	<input type="checkbox"/>			Volume (Total Revenue) Based
<input type="checkbox"/>	<input type="checkbox"/>			Renewal / Retention Based
				Accepts General Implementation, Marketing or Other Misc. Funds
<input type="checkbox"/>	<input type="checkbox"/>			General marketing or implementation budget paid by carriers or PBM
				Accepts Miscellaneous Compensation / Perks such as:
<input type="checkbox"/>	<input type="checkbox"/>			Hotel
<input type="checkbox"/>	<input type="checkbox"/>			Airfare
<input type="checkbox"/>	<input type="checkbox"/>			Office Space
<input type="checkbox"/>	<input type="checkbox"/>			Sports/Events Tickets
<input type="checkbox"/>	<input type="checkbox"/>			Vacations
<input type="checkbox"/>	<input type="checkbox"/>			Events
<input type="checkbox"/>	<input type="checkbox"/>			Other

Other Considerations:

	Yes	No	
	<input type="checkbox"/>	<input type="checkbox"/>	Willing to put a significant portion of total compensation at risk if milestones are not met.
	<input type="checkbox"/>	<input type="checkbox"/>	Willing to sign a contract guaranteeing 100% compensation transparency.
	<input type="checkbox"/>	<input type="checkbox"/>	Compensated on a flat dollar fee so advisor, broker, consultant doesn't get a "raise" when/if plan costs go up.

As Advisor, Broker or Consultant, we agree, as indicated above, to maintain full transparency to our client with regard to all forms of compensation. We will never accept bonuses, contingent commissions or any other compensation, however defined, that is not disclosed to our client and/or presents a potential conflict of interest. We will always do what is in our client's best interest, even if it is contrary to our own.

ADVISOR / BROKER / CONSULTANT:

Signature: _____
 Print Name: _____
 Title: _____
 Company: _____
 Date: _____

CLIENT:

Signature: _____
 Print Name: _____
 Title: _____
 Plan Sponsor: _____
 Date: _____